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Fulfilling the Promise of Noninvasive Brain Stimulation as a Precision Medicine Tool

Christopher T. Sege, Thomas Uhde, Bernadette Cortese, Lisa M. McTeague, Mark S. George

For questions or comments please contact Chris Sege at sege@musc.edu

Disclosures

- Contracted research with Attune Neurosciences©, who also provides the ultrasound device for this study
- No other disclosures

SLEEP, MOOD, AND ANXIETY RESEARCH AND TREATMENT DIVISION



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Brain Stimulation as a Precision Medicine Tool

- Clinical applications historically have targeted broad disorder classifications with a "one size fits most" approach
 - Standard brain target; standard course; broad clinical target (e.g., depression)
- Innovations in the BSL and beyond focus on improving precision and individualization:
 - Targeting different brain areas for different specific symptoms (e.g., lack of motivation versus rumination)
 - Syncing stimulation to significant brain events
 - Pairing stimulation with tasks and exercises to target specific processes/ behaviors



Distinct Symptom-Specific Treatment Targets for Circuit-Based Neuromodulation







Sonication of the anterior thalamus with MRI-Guided transcranial focused ultrasound (tFUS) alters pain thresholds in healthy adults: A double-blind, sham-controlled study

Bashar W. Badran ^{A.*}, Kevin A. Caulfield ^a, Sasha Stomberg-Firestein ^a, Philipp M. Summers ^a, Logan T. Dowdle ^b, Matt Savoca ^a, Xingbao Li ^a, Christopher W. Austelle ^a, E. Baron Short ^a, Jeffrey J. Borckardt ^a, Norman Spivak Alexander Bystritsky ^d, Mark S. George ^{A.*a} My Work: Can we Pair Brain Stimulation with Emotional Coping Practice to Help with Relearning of Fear Behaviors?



The Clinical Context: The Anxiety Spectrum Fight/ flight/ freeze as a treatment target Limits of current fight/ flight/ freeze treatment



The Treatment Tools: Brain Stimulation The goal of enhancing brain plasticity rTMS and LIFU stimulation approaches



Outline

Our Current Work: Targeting Fight-or-Flight with Brain Stim Measuring fight/ flight (escape/ avoid bias) Modulating fight/ flight (escape/ avoid bias)



Our Future Work: Toward Treating Behaviors, not Disorders Pairing brain stim with behavior

Other possibilities (cognition and reward)

Anxiety as a Clinical Area

Treating maladaptive "fight-flight-freeze" responding

DIAGNOSTIC AND STATISTICAL MANUAL OF MENTAL DISORDERS FIFTH EDITION DSM-5

AMERICAN PSYCHIATRIC ASSOCIATION

Anxiety Disorders

- Generalized Anxiety Disorder (GAD)
- Panic Disorder
- Agoraphobia
- Social Anxiety Disorder (SAD)
- Specific Phobia
- Anxiety Disorder NOS

Related Disorders

- Obsessive-Compulsive Disorders
- Trauma-/ Stressor-Related Disorders

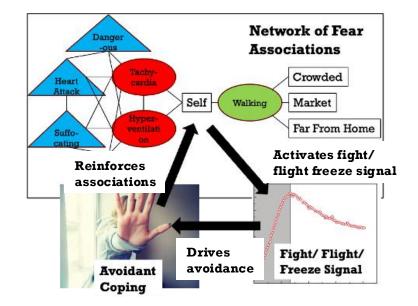
Common Mechanism: Disrupted Operation of a **Fight/ Flight/ Freeze Brain System**

Common triggers of fight/ flight disruption across diagnoses can include:

- Physiology itself ("anxiety sensitivity;" Naragon-Gainey, 2010)
- Situational uncertainty ("intolerance of uncertainty;" Boswell et al., 2014)
- Distorted perception of control (Mineka & Zinbarg, 2006)

A fight/ flight/ freeze activation -> avoidance cycle drives impairment and is a core treatment target (Foa et al., 2006)

Cognitive behavioral treatments are effective for many – but many others cannot complete, do not benefit, or relapse (Taylor et al., 2012; Bentley et al., 2021)

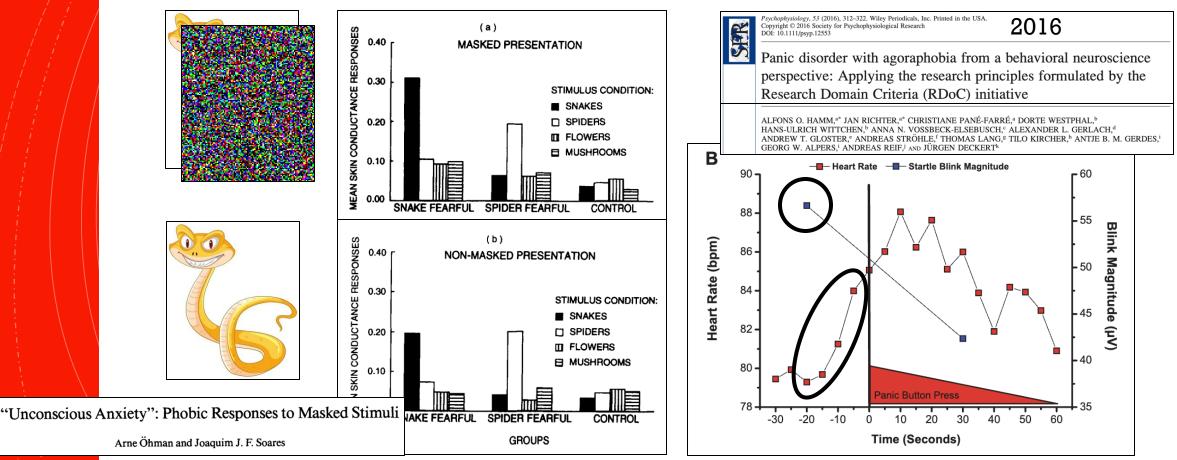


Emotional Processing of Fear: Exposure to Corrective Information Psychological Bulletin 1986, Vol. 99, No. 1, 20-35 Edna B. Foa and Michael J. Kozak Temple University

"Some form of exposure to feared situations is common to psychotherapies for anxiety...and is an effective treatment.

"...information must be integrated for emotional processing of a fear structure."

A key Challenge - The "Automaticity" of Fight/Flight/Freeze



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Received: 16 October 2017 Revised: 13 December 2017 Accepted: 28 December 2017		Clinical Psychology Review 42 (2015) 179–192	
DOI: 10.1002/Ha22720 RESEARCH ARTICLE WILEY		Contents lists available at ScienceDirect Clinical Psychology Review	
Behavioral avoidance predicts treatment outcome with		ELSEVIER	-
exposure and response prevention for obsessive-compuls	ive		
disorder		A systematic review of predictors and moderators of improvement in cognitive-behavioral therapy for panic disorder and agoraphobia	k
	Journal of Obsessive-Compulsive and Related Disorders 41 (2024) 100871	Eliora Porter *, Dianne L. Chambless	
Michael G. Wheaton PhD ^{1,2,3} 🔟 🕴 Marina Gershkovich PhD ^{2,3} 🕴 Thea Gallagher Ps	Journal of Obsessive-Compusive and Related Disorders 41 (2024) 1006/1	H I G H L I G H T S	
Edna B. Foa PhD ⁴ 🕴 H. Blair Simpson MD, PhD ^{2,3}	Contents lists available at ScienceDirect		
Results: More than half (69%) of the full sample had moderate or severe avoidance be	Journal of Obsessive-Compulsive and Related Disorders	Agoraphobic avoidance predicted less improvement from pre- to post-treatment.	1
at baseline. In EX/RP, controlling for baseline severity, pretreatment avoidance predict		 Functional impairment and low expectancy for change predicted less improvement. 	
treatment YBOCS symptoms ($\beta = 0.45$, $P < .01$). Avoidant individuals were less likely to	ELSEVIER journal homepage: www.elsevier.com/locate/jocrd	 Comorbid depression and medication use consistently did not predict improvement. Few studies examined moderators of improvement in CBT vs. other treatments. 	
remission with EX/RP (odds ratio = 0.04, 95% confidence interval [CI] range 0.01–0.28, P		Tew studies examined moderators of improvement in edit vs. other readments.	Psychological Trauma:
	Behavioral avoidance as a factor in concentrated exposure and response	Psychological TRAUMA PSYCHOLOGY Theor	ry, Research, Practice, and Policy
	prevention for obsessive-compulsive disorder	In the public domain ISSN: 1942-9681	2020, Vol. 12, No. 4, 405-412 http://dx.doi.org/10.1037/tra0000484
comes (P < .05). Baseline avoidance did not predict outcomes or wellness among patients r	Michael G. Wheaton ^{a,*} , Kristen Hagen ^{b,c} , Thröstur Björgvinsson ^{d,e} , Gerd Kvale ^{b,f} ,	Avairs 1992-2001	inproximity in the interview of the inte
risperidone or placebo.	Highlights	Predicting Treatment Dropout Among Veterans	Receiving Prolonged
10022 comes among patients secsiving sisperidans and placebo	Ingingits	Exposure Therapy	Receiving Tholongeu
Behaviour Research and Therapy 73 (2015) 96–103	We studied OCD-related avoidance before and after concentrated	Exposure merupy	
Contents lists available at ScienceDirect	ERP.	Afsoon Eftekhari, Jill J. Crowley,	Craig S. Rosen
Contents lists available at ScienceDirect		and Margaret-Anne Mackintosh National Center	for PTSD, Dissemination and Training lo Alto Health Care System, Palo Alto,
Behaviour Research and Therapy	Patients with more severe avoidance had equivalent short-term		Stanford University School of Medicine
FI SEVIER journal homepage: www.elsevier.com/locate/brat	outcomes but worse long term outcomes.	Cantorna	
ELSEVIER journal homepage: www.elsevier.com/locate/brat	Avoidance improved with concentrated ERP but rebounded during		
		dropout. Results: In total, 782 patients (30.0%) completed fewer than 8 were more likely to drop out of PE; odds ratio (OR) per year of age = 0	6
Avoidant decision-making in social anxiety disorder: A laboratory task	follow-up.	factors, veterans who focused on childhood trauma were less likely to	
linked to in vivo anxiety and treatment outcome	Worsening avoidance predicted subsequent worsening in global	combat trauma (OR = 0.51 , $p < .05$). Dropout was unrelated to sympt	tom course or symptom worsening
Andre Pittig ^{a, b, *} , Georg W. Alpers ^a , Andrea N. Niles ^c , Michelle G. Craske ^c	OCD severity.	between sessions. Nevertheless, clinicians attributed dropout to dist	
,		patients who dropped out, citing other factors in 37% of dropout cases	S. Conclusions: Treatment dropout

A key Challenge - The "Automaticity" of Fight/Flight/Freeze



Brain Stimulation Tools for Targeting Fight/ Flight/ Freeze

Increasing neural flexibility to improve fear response relearning

Non-Invasive Neuromodulation Overview

- Use of <u>superficial</u> (electrical, magnetic, or mechanical) transmitters to influence central (brain) or peripheral (para/sympathetic) nerve activity without any surgery
- A variety of technologies available with different mechanisms of action

<u>Central</u>

Transcranial magnetic stimulation (TMS)

Transcranial direct current stim. (tDCS)

Transcranial alternating current stim. (tACS).

Electroconvulsive therapy (ECT)

Low-intensity focused ultrasound (LIFU)

Peripheral

Transauricular vagus nerve stimulation (taVNS)

Trigeminal nerve stimulation (TNS)

Transcutaneous electrical nerve stimulation (TENS)

Non-Invasive Neuromodulation Overview

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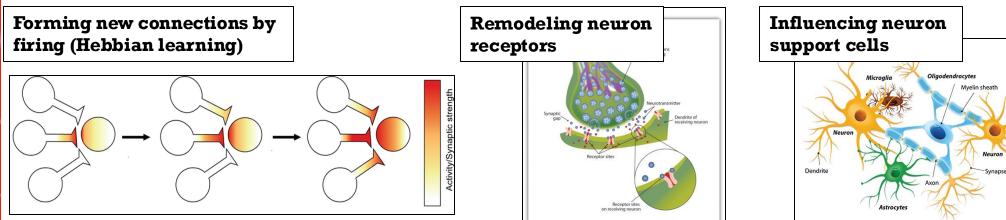
In therapeutic applications, the common goal is **PLASTICITY**

Formation of new connections by repeated firing

Remodeling of neurons in a way that makes it easier to form new connections

This is the basis of relearning and behavior change

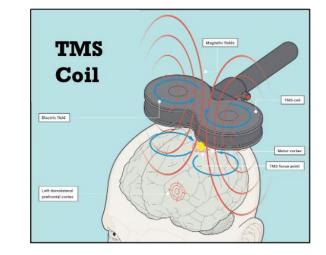
Mechanisms of Plasticity

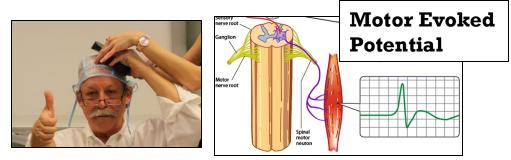


The technology – a figure-eight or H-shaped superficial electromagnet that sends a weak (1.5 to 2T) magnetic pulse into the cortex

Different delivery patterns = different effects

- Single pulse = acute neuronal firing
- Repeated-pulse session (repetitive TMS) = shortlived changes in neuronal firing propensities
- Multi-session course = more durable neuronal changes support new, more active connections



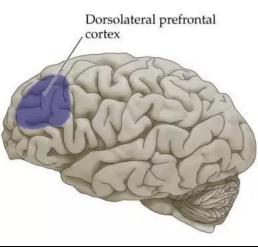


Immediate effect can be directly measurable (motor thresholding)

Clinical applications

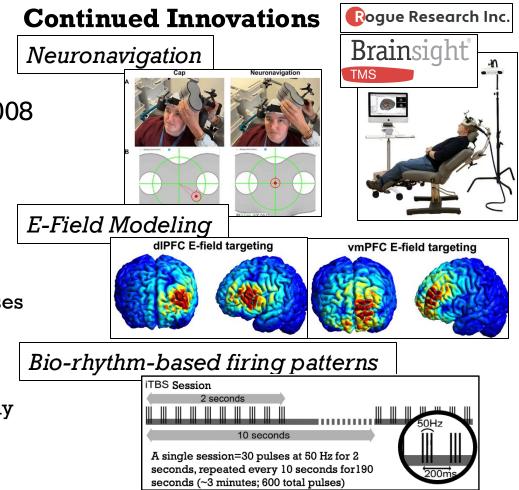
- First standard course of TMS for major depressive disorder FDA approved in 2008
 - Neural target dlPFC
 - Rapid TMS "pulses" at a rate of 10/ second
 - A single treatment session delivers 3,000 pulses over ~38 minutes
 - A treatment course is one session of rTMS/ day over 25 days





Clinical applications

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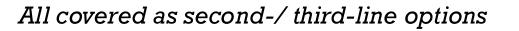


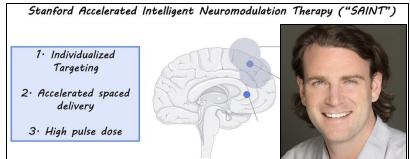
Clinical applications

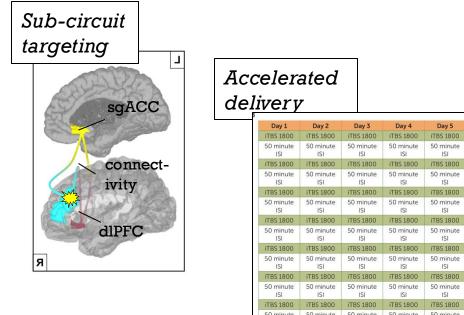
 Most recent step forward in depression TMS: SAINT TMS (FDA cleared in 2023)

Other recent developments

- rTMS paired with behavioral procedures
 - OCD (w/ situational exposure; 2017)
 - Smoking cessation (w/ cue exposure; 2020)
- Anxiety w/ Major Depression (since 2021)





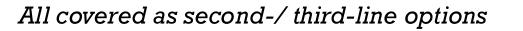


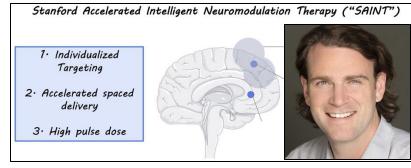
Clinical applications

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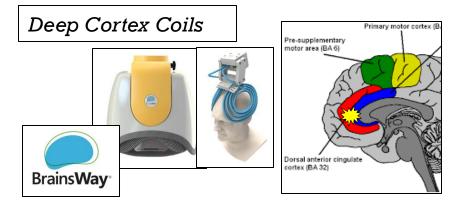
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Behavioral Pairing





TMS Considerations

- Very safe and very minimal side effects
 - But it is usually contraindicated for people who are at risk for seizures
- No negative effects on cognition (e.g., memory, attention)
 and in fact, a lot of research suggests benefits!

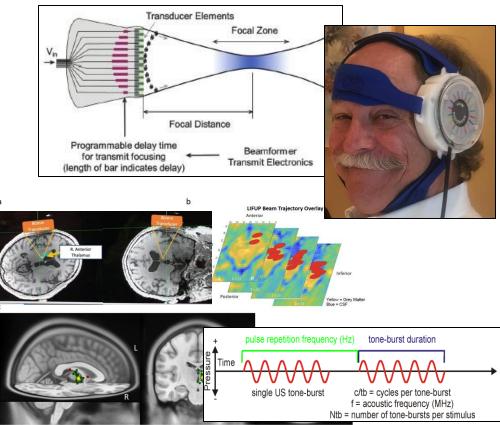
As a research and treatment tool, main that still could limit TMS are:

- 1. It cannot reach below the surface level of the brain (the cortex), and
- 2. The stimulated area is a bit broad (in brain terms) especially deeper in the cortex

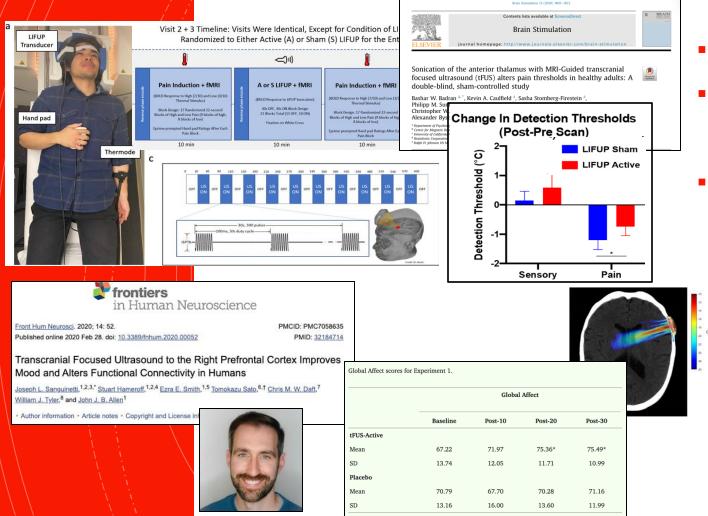
Low-Intensity Focused Ultrasound (LIFU): The Next Generation?

The technology – a mechanoelectrical transducer array generates ultrasound waves that travel through bone and tissue to a targeted depth

- Thought to change neuron firing capacity by mechanical effect on the membrane
- Overcomes depth and focality limitations of TMS
- Like rTMS, LIFU can be patterned to produce different effects
 - But optimal parameters are still very much being worked out



Low-Intensity Focused Ultrasound (LIFU): The Next Generation?



- New technology (2002) with no clinic indications yet...
- ...but the pre-clinical work is coming fast and furious
- Demonstrated effects on
 - TMS-evoked motor potential threshold
 - Basic sensory processing responses
 - Sensory (e.g., pain) perception
 - Subjective emotion (e.g., depressive symptoms)

LIFU Considerations

- Like TMS, all research to date suggests LIFU is very safe with very minimal side effects
- Ability to reach anywhere with precision could allow us to stimulate more important areas for diagnoses beyond depression

But as a research and treatment tool, the main considerations are that:

- 1. An immediate, direct response to a LIFU pulse (like the motor twitch for TMS) is not yet apparent...
- 2. ...and with great depth and focality but a beam that can't be seen, it can be a challenge to show that we're successfully stimulating in the most effective way



Current Work: Can TMS or LIFU be used to Target Fight/ Flight Circuitry

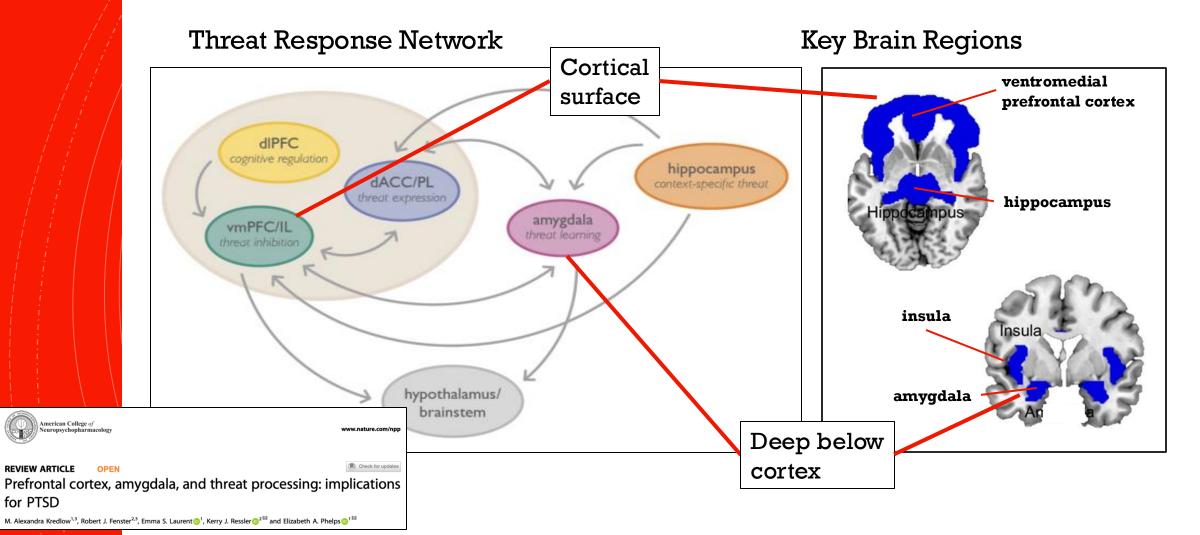
What's the best approach to relearning a more adaptive fight-or-flight approach?



National Institute of Mental Health

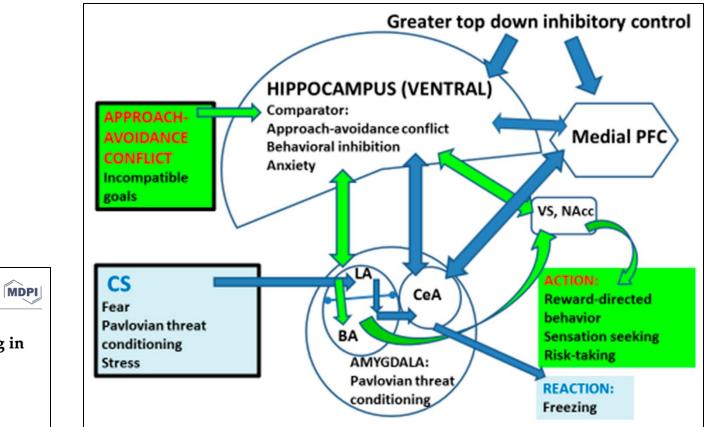
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Fight-Flight-Freeze Circuitry



Fight-Flight-Freeze Circuitry

Threat response network regions interact to select the best response for specific threat contexts



brain sciences

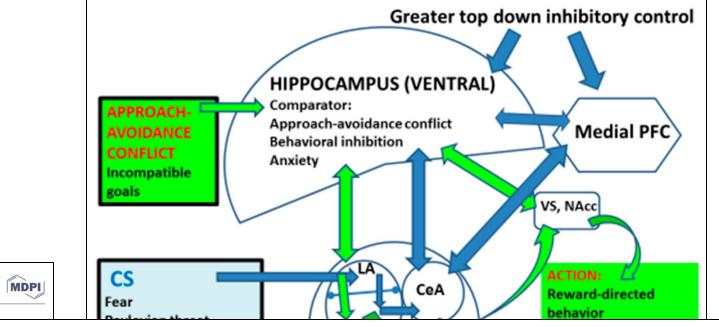
Commentary

Conflict between Threat Sensitivity and Sensation Seeking in the Adolescent Brain: Role of the Hippocampus, and Neurobehavioural Plasticity Induced by Pleasurable Early Enriched Experience

Alberto Fernández-Teruel

Fight-Flight-Freeze Circuitry

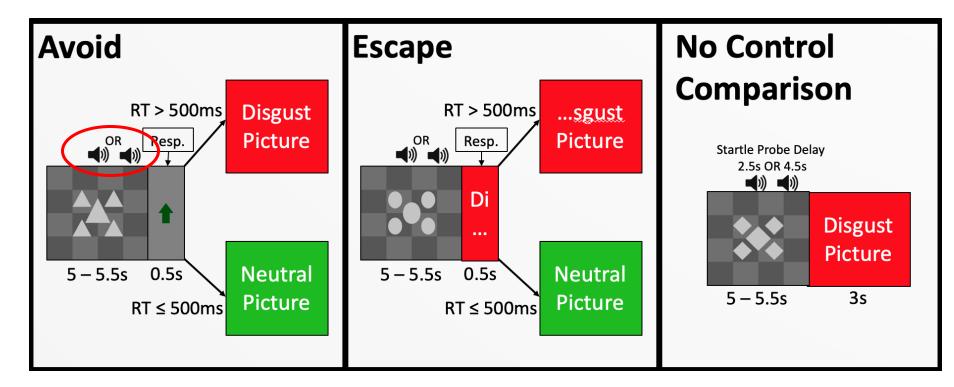
Threat response network regions interact to select the best response for specific threat contexts



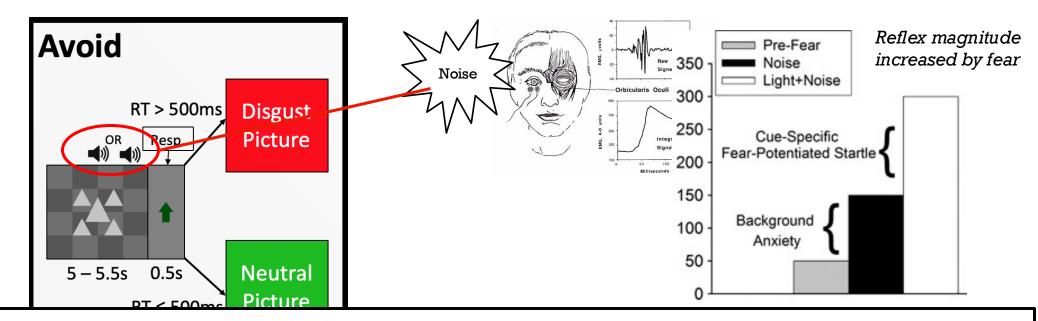
brain sciences

Conflict between the Adolescent B Neurobehaviour Enriched Experie Alberto Fernández-Teruel Laberto Fernández-Teruel Laberto Fernández-Teruel Lit may also be crucial to stimulate circuitry <u>in the right</u> <u>context(s)</u> (i.e., where it is not working adaptively)

Threat Coping Preparation task

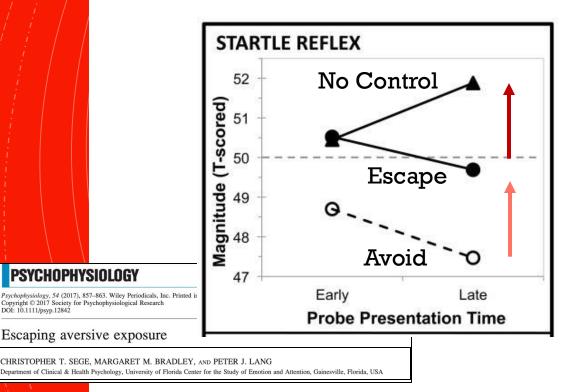


Threat Coping Preparation task
 Startle Reflex Modulation index



Measures regulation of a fight/ flight (incl. reflex) response preparation system while awaiting increasingly uncontrollable threats

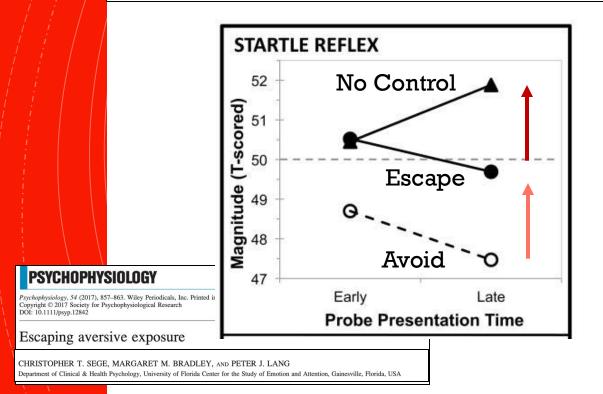
 Increasing fight/ flight activation with decreasing control...

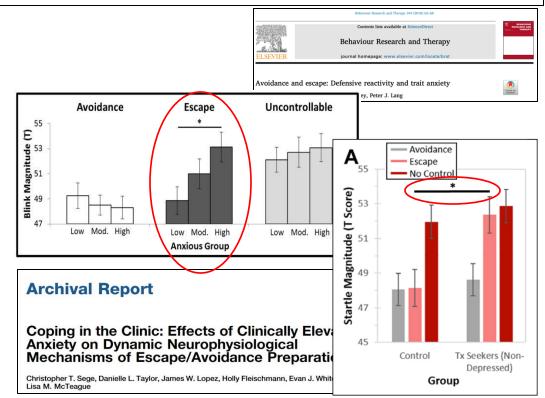


during escape preparation specifically Contents lists available at ScienceDire Behaviour Research and Therapy Avoidance and escape: Defensive reactivity and trait anxiety Peter J. Lang Avoidance Escape Uncontrollable 55 Avoidance Α Escape No Control Score) 53 F Low Mod. High Low Mod. High Low Mod. High Magnitude 51 **Anxious Group** 49 **Archival Report** Startle Coping in the Clinic: Effects of Clinically Eleve Anxiety on Dynamic Neurophysiological 45 Mechanisms of Escape/Avoidance Preparati Control Tx Seekers (Non-Depressed) Christopher T. Sege, Danielle L. Taylor, James W. Lopez, Holly Fleischmann, Evan J. Whit Group Lisa M. McTeaque

... and with individual anxiousness

Can we reduce **escape-specific** fight/ flight/ freeze system malfunction without shutting the whole system down?





The Project

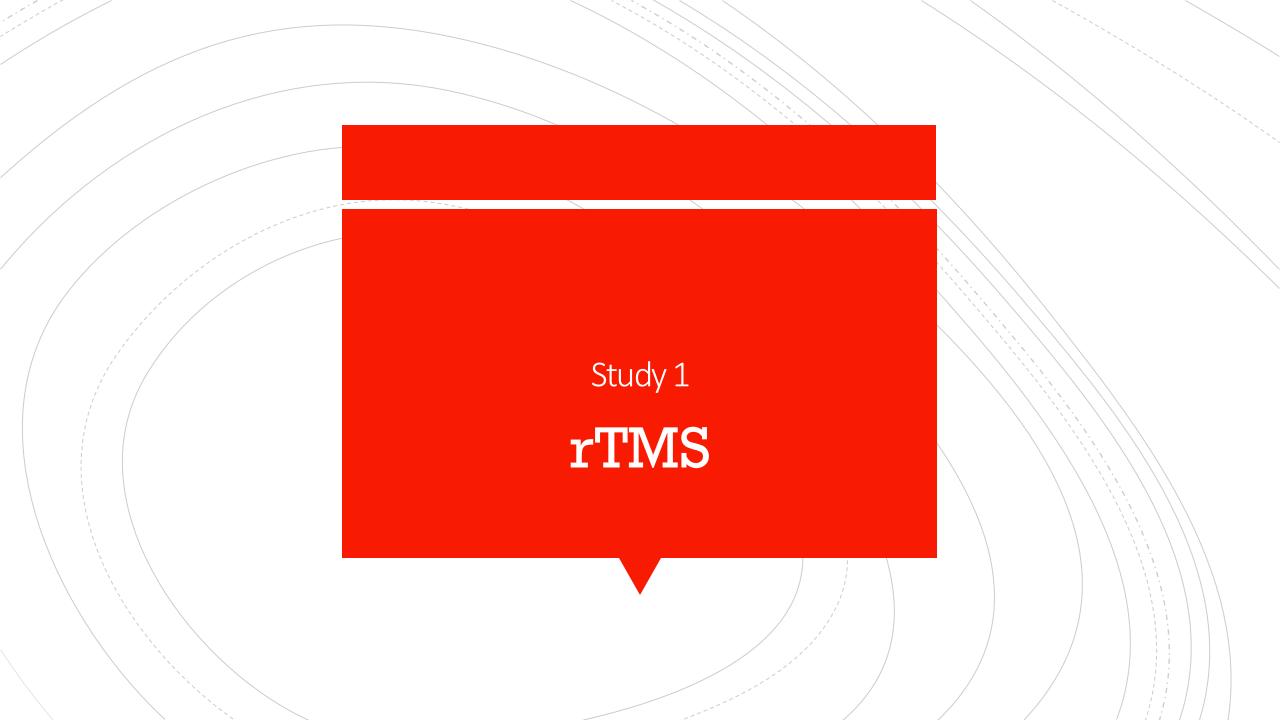
- Target the fight/ flight/ freeze system at (with TMS) or below (with LIFU) the cortex to find the best way to modulate <u>escape-specific</u> activation
 - Cortical aspects of system regulate fight/ flight tendencies
 - Sub-cortical of system aspects activate fight/ flight responding

THE GENERAL DESIGN

Clinical Characterization

Escape/ Avoidance Disposition Assay Prolonged Stim to Produce ~1 hour effect

Escape/ Avoidance Assay



Study 1 Sample

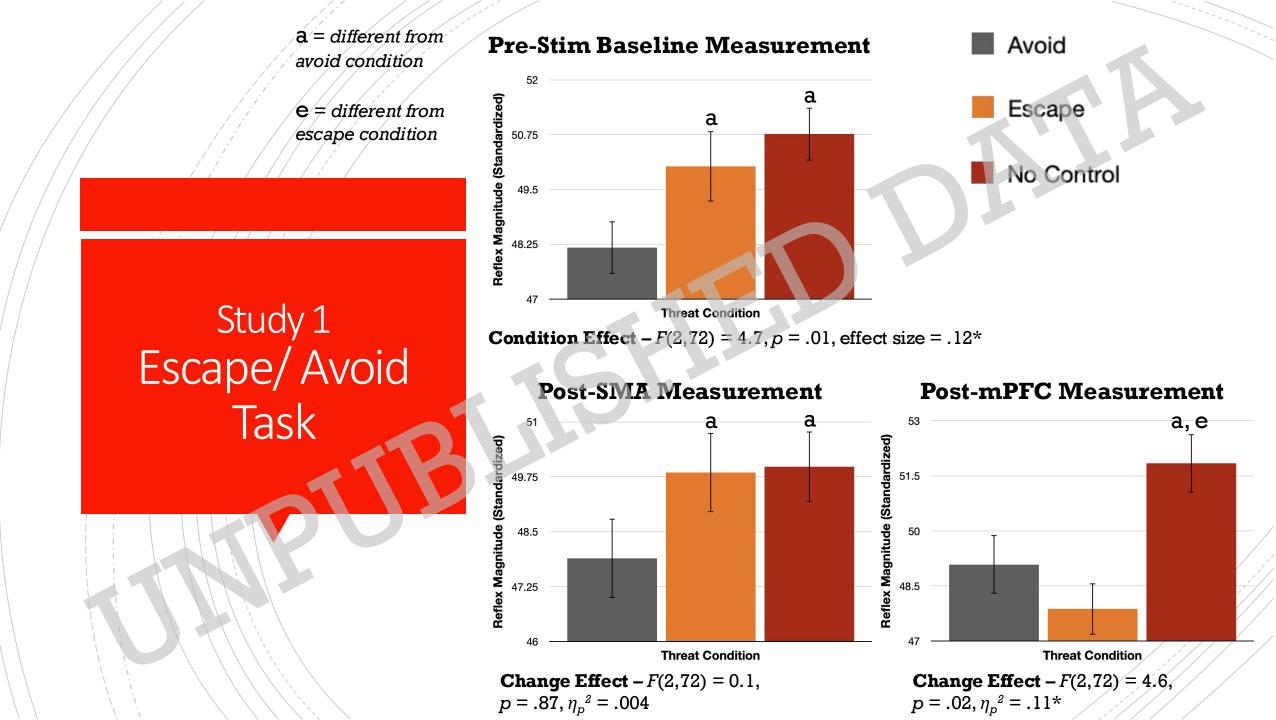
Comparison of 2 cortical targets (2 study days)

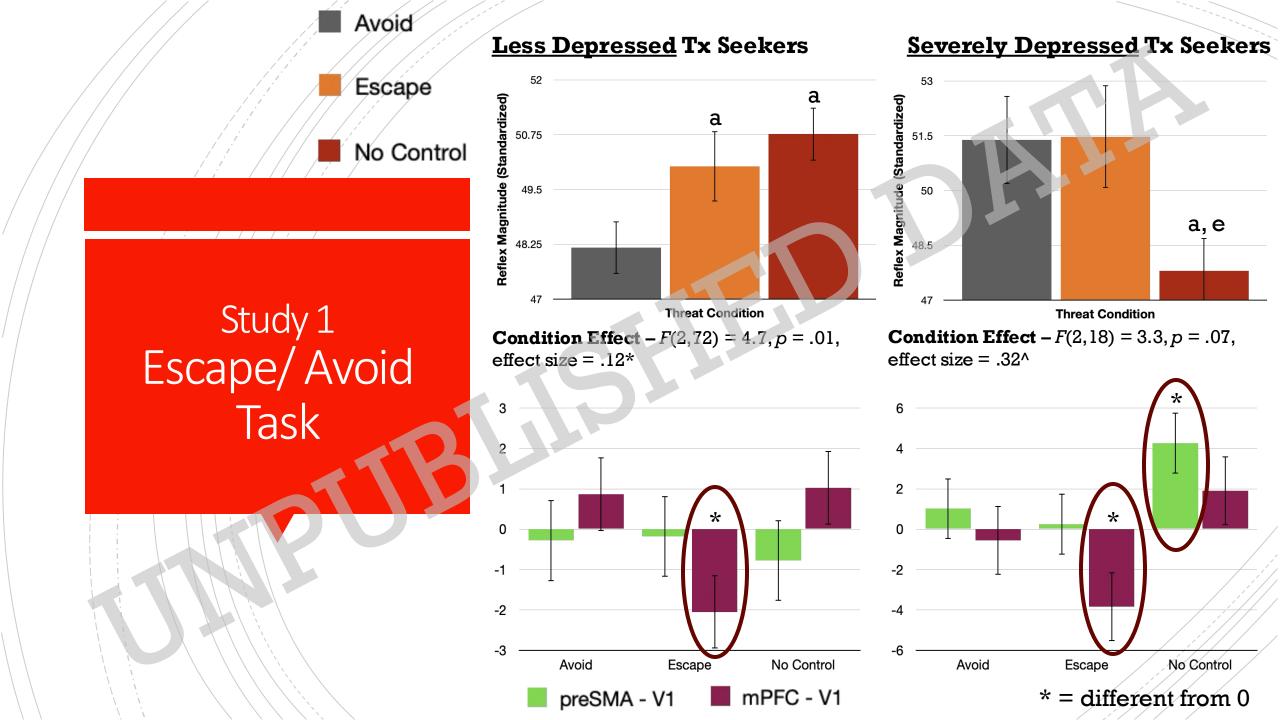
- Medial Prefrontal Cortex (mPFC; emotion regulation)
- Supplementary motor area (SMA; motor planning)

55 anxiety/ related disorder treatment seekers to date

 12 Generalized Anxiety, 7 Panic, 8 PTSD, 6 Social Anxiety, 4 Adjustment w/ Anxiety, 7 Anxiety NOS, 3 OCD, 3 Depression w/ Secondary Anxiety

51	Mild-Moderate Depression (n = 45)	Severe Depression (n = 10)
N (%) Women	36 (80.0)	6 (60.0)
N (%) US Racial/ Ethnic Minority	6 (13.3)	3 (30.0)
Аде	32.8 (12.0)	31.2 (10.0)
STAI-T (Gen. Anxiety)	42.5 (9.5)	62.4 (8.8)
BDI-II (Depression)	9.0 (6.2)	34.9 (7.1)
IIRS (Impairment)	42.3 (18.4)	76.9 (17.1)





Study 1 Summary

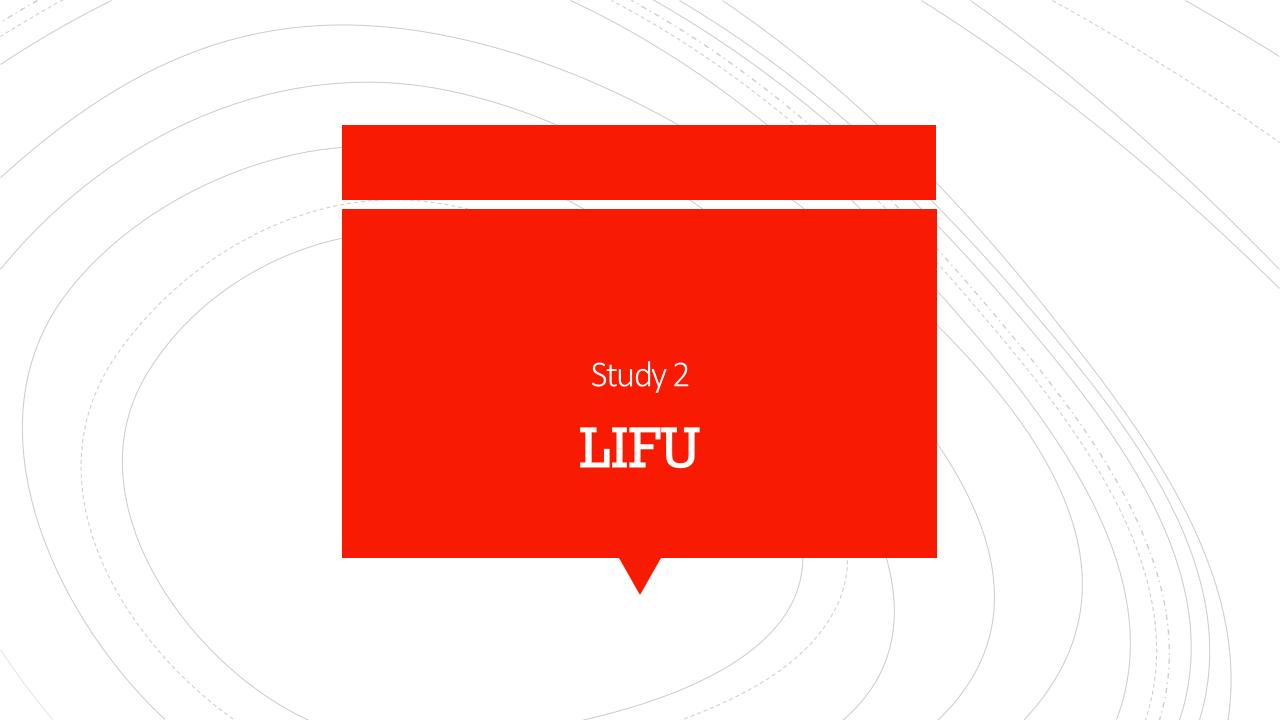
Stimulating the cortex (medial prefrontal cortex) did improve the regulation of fight/ flight responding across contexts

Not just an "off" switch (which also wouldn't work)

For some people who showed more of a *blunting* of fight/ flight, stimulating a different area **overcame this blunting**

Points to different strategies for different people?

Next: does stimulating the amygdala have similar effects?

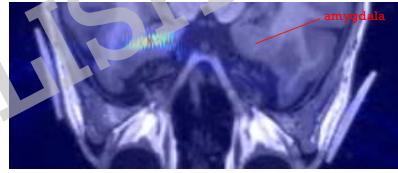


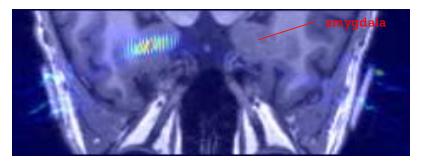
Study 2 Sample

INE

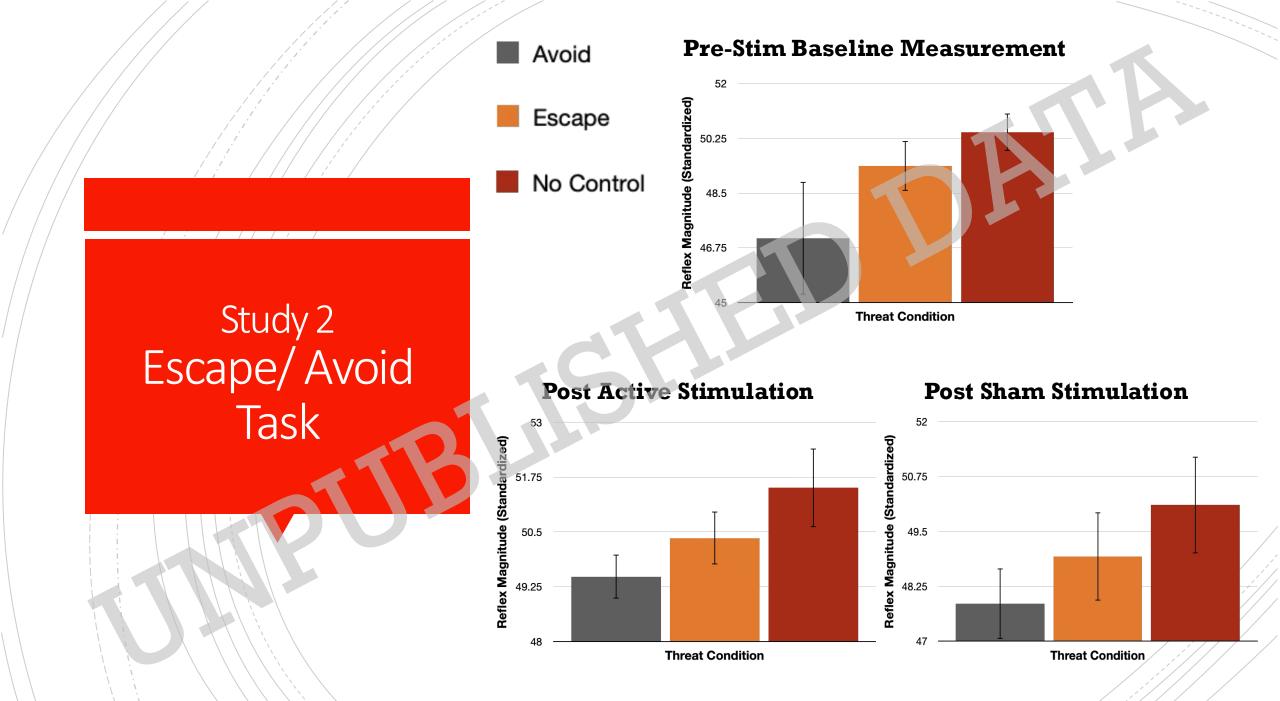
Stimulation: LIFU to amygdala (fight/ flight regulation)

- One session active
- A second session "sham;" no stimulation reached brain
- 15 anxiety/ related disorder treatment seekers to date (5 ongoing)
 - 12 Generalized Anxiety, 7 Panic, 8 PTSD, 6 Social Anxiety, 4 Adjustment w/ Anxiety, 7 Anxiety NOS, 3 OCD, 3 Depression w/ Secondary Anxiety





	N = 15
N (%) Women	11 (73.3)
N (%) US Racial/ Ethnic Minority	2 (13.3)
Age	39.9 (12.0)
STAI-T (Gen. Anxiety)	46.7 (8.7)
BDI-II (Depression)	14.4 (8.7)
IIRS (Impairment)	43.7 (17.0)



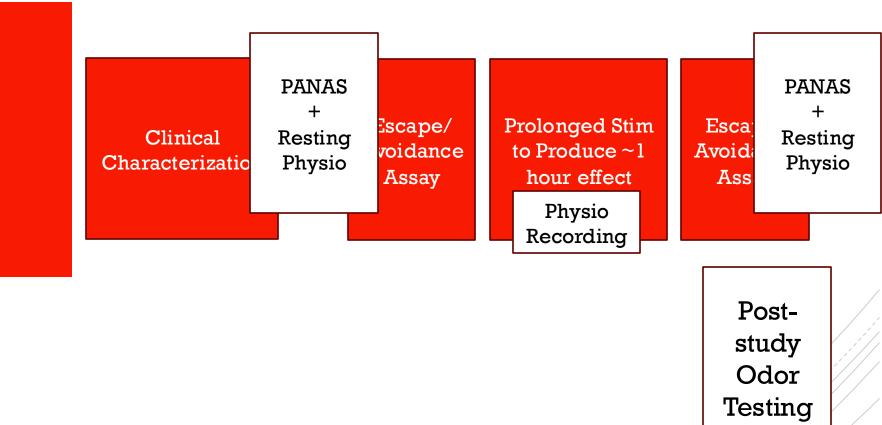
Study 2 Mood State Variables

- Meanwhile, in 6 out of 8 subjects were correct in guessing which session was active
 - Something is cluing subjects in...

- ...and, several subjects cited mood effects after the active session as the clue
 - "After the active stimulation, things that usually bother me didn't as much"
 - "I felt tired after the active session"

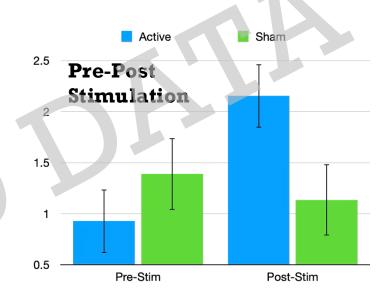
For others, behavior change was noted by research staff (e.g., just seeming more relaxed)

Study 2 Mood State Variables

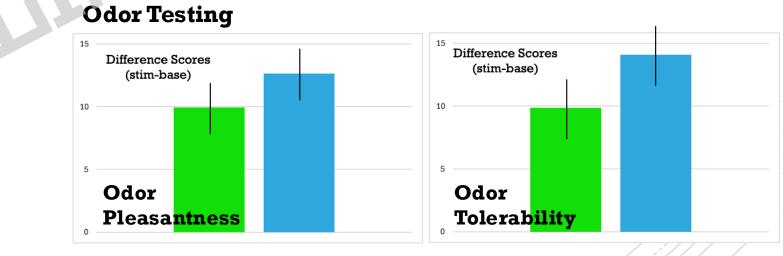


Heart Rate Variability





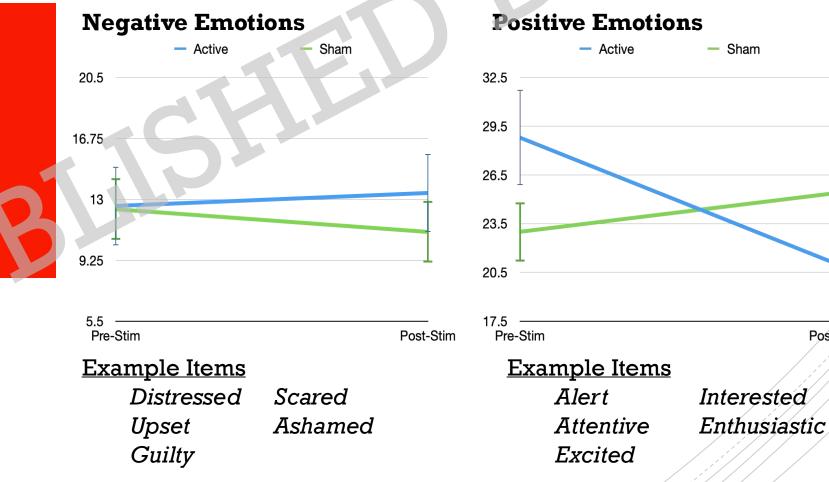
Study 2 Mood State Variables



Mood Scales: Positive and Negative Affect Schedules (PANAS)

Post-Stim

Study 2 Mood State Variables

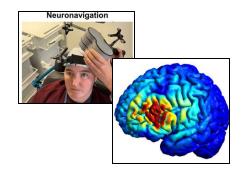


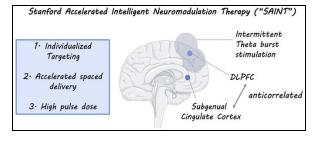
Study 2 Summary

- Starting to look like stimulating cortex might by a better way to impact fight/ flight regulation across contexts (e.g., escape vs. avoidance)
 - *Might make some sense improving the cortex's ability to regulate fear*
- Meanwhile, we're seeing evidence that amygdala stimulation with LIFU impacts broad mood state
 - Could be very useful as a supplement to behavioral treatment
 - We need a lot more work to figure out best parameters which we are doing now

• In the future, could the best strategy be to *combine* rTMS and LIFU?

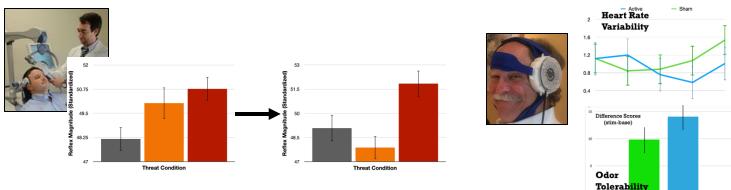
Non-invasive brain stimulation has advanced tremendously in its ability to treat psychiatric conditions (depression, OCD, nicotine use) that haven't responded to other treatments







Ability to treat *specific psychological processes and behaviors* – including fight/ flight system regulation – could extend brain stimulation's reach even further



No reason to think that this will only work with fight/ flight system treatment

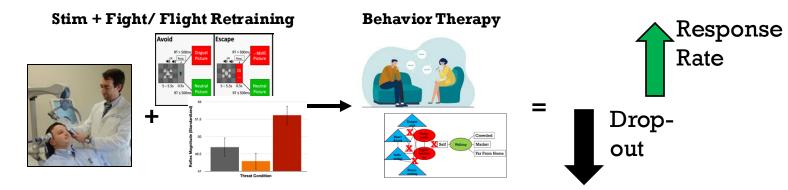
General Discussion

Treatment Possibilities

NEU

Advanced Brain Training Systems

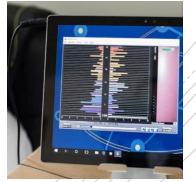
Precursor or add-on to behavioral therapy?



Use in neurofeedback training?









Brain Stimulation Lab

- Mark George
- Lisa McTeague
- Kevin Caulfield

SMART Division

- Thomas Uhde
- Alyssa Rheingold
- Bernadette Cortese
- Ali Wilkerson

Project Coordinators

- Claire Cox
- James Lopez
- Sam LaPorta
- Jacob Weaver
- Christina Marsicano
- **Our generous study volunteers**
- MUSC admin/ support staff

University of Florida

- Peter Lang
- Margaret Bradley

All of you!